



**GOOD HARBOR**<sup>SM</sup>  
FINANCIAL, LLC

**For Immediate Release**

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**Good Harbor Closes \$75 Million Growth Equity Commitment**

*FTV Capital Leads Financing in Rapidly Growing Asset Manager*

**CHICAGO** (April 16, 2013) -- Good Harbor Financial, LLC, a leading tactical investment management company, announced today that it has secured a \$75 million equity commitment to support continued growth and development of the company's core business. FTV Capital, a growth equity investor with a strong track record in the asset management sector, led the financing, which also included the private equity firm LLR Partners, CJM Ventures and members of Good Harbor company management, who retain majority control of the company. The financing will be used to further enhance Good Harbor's product, client service and distribution capabilities through both organic growth and acquisition.

Good Harbor's tactical investment strategies and solutions serve individuals, institutions, and registered investment advisers. The firm uses both systematic and traditional methods to actively manage asset allocations of portfolios comprised primarily of exchange-traded funds.

Good Harbor's flagship Tactical Core<sup>SM</sup> U.S. strategy is systematically driven and has delivered consistent, strong returns as reflected in its 10-year, GIPS-compliant track record. The strategy was developed by Good Harbor Chairman and Chief Investment Officer, Neil Peplinski, in 2003 as a core strategy for 401(k) retirement accounts.

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Other Good Harbor tactical investment strategies provide focused exposure to international equity, U.S. equity income, and global multi-asset core investment classes. The company continues to augment its product suite to meet increasing investor demand for tactical products that aim to deliver solid absolute returns in the face of highly volatile public markets.

“We are highly impressed by the achievements of the Good Harbor management team,” said Ben Cukier, FTV Capital partner and new Good Harbor board member. “The company has delivered distinctive products with strong market appeal and has built a distribution network that enables it to deliver top-notch customer service to its institutional partners. We look forward to helping the firm pursue further distribution capabilities by tapping into FTV’s Global Partner Network.”

“The Good Harbor team has a sophisticated understanding of today’s complex market dynamics, enabling them to build a scalable platform for delivering compelling investment products,” said Mitchell Hollin, partner at LLR Partners and new Good Harbor board member. “We believe investor appetite for Good Harbor’s product offerings will continue to grow exponentially as market volatility drives investors to seek absolute return strategies.”

“We are truly pleased to be partnering with these experienced growth equity firms,” said Paul Ingersoll, Chief Executive Officer of Good Harbor. “They have long track records of helping companies grow and scale successfully. FTV’s expertise from its previous accomplishments with asset management companies, such as PowerShares and ETF Securities, will contribute significantly to our product expansion. The industry relationships they bring to Good Harbor also represent important potential distribution opportunities.”

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**ABOUT GOOD HARBOR FINANCIAL, LLC**

Good Harbor Financial, LLC develops and manages tactical and flexible investment solutions for individuals, institutions, and registered investment advisers. The Chicago-based firm manages or advises more than \$5 billion in client assets, providing actively managed access to a broad range of global capital markets. Good Harbor's tactical investment methods are designed to enhance risk-adjusted returns by aligning capital with risk assets in periods of market strength, and by allocating to defensive assets in periods of market weakness. The firm employs robust systematic return and risk management analysis over a rich data set and across a broad range of market conditions. For more information, visit [www.goodharborfinancial.com](http://www.goodharborfinancial.com).

**ABOUT FTV CAPITAL**

FTV Capital is a growth equity firm with over \$1 billion under management that invests in high-growth companies offering a range of innovative solutions in four sectors: business services, financial services, payments/transaction processing, and technology. FTV's experienced team leverages its domain expertise and proven track record in each of these sectors to help motivated management teams accelerate growth. FTV also provides companies with access to its Global Partner Network, a group of the world's leading enterprises and executives who have helped FTV portfolio companies for more than a decade. Founded in 1998, FTV Capital has invested in 79 portfolio companies across four funds, and has offices in San Francisco and New York. For more information, visit [www.ftvcapital.com](http://www.ftvcapital.com).

**ABOUT LLR PARTNERS**

LLR Partners invests in middle market growth companies with proven business models in a broad range of industries, including business services, consumer and education, financial services, healthcare services, and software and IT services. With more than \$2 billion under management, LLR provides capital for growth, recapitalizations, and buyouts. For more information about LLR, visit [www.llrpartners.com](http://www.llrpartners.com).

**ABOUT CJM VENTURES, LLC**

CJM Ventures is a Chicago-based private equity investment firm focused on supporting excellent management teams with capital to achieve their growth and recapitalization objectives. CJM Ventures focuses on small to middle market companies, including family-owned business, in a variety of sectors including manufacturing, distribution, business services, and financial services. Founded in 2011, CJM Ventures has invested in four portfolio companies, all located in the Chicago area.